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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/828,985

04/21/2004

Christopher D. Armour

RS0210Y

R. Douglas Bradley Merck & Co., Inc. P.O. Box 2000 Patent Department RY60-30 Rahway, NJ 07065-0907



08-25-04

CONFIRMATION NO. 7621

FORMALITIES LETTER

OC000000013036435*

Date Mailed: 06/23/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/26/2004 BABRAHA1 00000037 502287

01 FC:1051

10828985

130.00 DA

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

Anjapplication number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d). Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be
- identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

B-H-

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit: 1632

Examiner: To Be Assigned

Applicants: Armour, Christopher D. et al.

Serial No.: US 10/828,985

Case No.: RS0210Y

Filed: April 21, 2004

For: Novel Isoforms of Centromere

Protein E (CENPE)

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

$\frac{\text{RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL}}{\text{APPLICATION}}$

Seattle, Washington 98109 23 August 2004

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application, mailed June 23, 2004, enclosed herewith are:

- 1) An oath in compliance with 37 CFR 1.63 and Fee Transmittal Sheet;
- 2) A substitute Computer Readable Form of the Replacement Sequence Listing;
- 3) A paper copy of the Replacement Sequence Listing that is an identical copy of the Replacement Sequence Listing on the Computer Readable Form;
- 4) An Amendment directing entry of the Replacement Sequence Listing into the specification; and
- 5) A copy of the Notice to File Missing Parts of Nonprovisional Application that was mailed to Applicants on June 23, 2004.

Serial No.: US 10/828,985 Case No.: RS0210Y

The substitute Computer Readable Form is a Diskette with the Sequence Listing described as follows:

Diskette:

Machine Format:

IBM-PC/XP

Operating System:

MS-Windows XP Professional

File Name:

RS0210Y.TXT

File Format:

ASCII

Size:

100,616 bytes

Creation Date:

13 August 2004.

I hereby state that the sequence listing information recorded in computer readable form is identical to the paper copy of the sequence listing submitted herewith and does not include new matter.

Please charge deposit account number 502287 for any fees due in connection with this Response to Notice to Comply. If any time extensions are needed for the timely filing of the present response, applicants petition for such extensions and authorize the charging of deposit account number 502287 for the appropriate fees.

EXPRESS MAIL CERTIFICATE

Date of Deposit: August 23, 2004 Express Mail No.: ER 212732309 US

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail "Post Office to Addressee" on the above date in an envelope addressed to Commissioner for Patents, Alexandria, VA 22313-1450.

Mailed by: lilen /

Date: August 23, 2004

Respectfully,

By: R. Douglas Bradley Attorney for Applicant(s)

Reg. No. 44,553

Rosetta Inpharmatics LLC 401 Terry Avenue North

Seattle, Washington 98109

206-802-6301

Approved for use through 07/31/2003. OMB 0651-0032 U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE SUBSTITUTE for PTO/SB/17(08-03)"FEE TRANSMITTAL for FY 2003"

/5	N.E.		Complete if Known			
	🍾 FEE TRANSMIT	TAL	Application Number	10/828,985		
AUE	2 2 2004 8		Filing Date	April 21, 2004		
	2 3 2004 jj		First Named Inventor	Christopher D. Armour		
THE .	Patent fees are subject to annua	l revision.	Examiner Name		_	
*Z	40EMARY		Art Unit	1632		
1	TOTAL AMOUNT OF PAYMENT	\$130	Attorney Docket Number	RS0210Y	_	

METHOD OF PAYMENT (Check all that apply)			FEE CALCULATION (continued)				
Check Credit Card Other None			3. ADDITIONAL FEES				
			Large Entity				
Deposit Account:			Fee	Fee	Fee Description	Fee Paid	
Deposit A Number	ccount	502287		Code 1051	(\$) 130	Surphorno loto Clina for an oath	120
Deposit A	ccount					Surcharge - late filing fee or oath	130
Name The Director	is author	ized to:		1812	2,520	For filing a request for ex parte reexamination	
		ated below Credit as	ny overpayments	1251	110	Extension for reply within first month	
Charge as application		nal fee(s) during the pende	ency of this	1252	420	Extension for reply within second month	
	F	EE CALCULATION		1253	950	Extension for reply within third month	
1. BASIC I	FILING	FEE		1254	1,480	Extension for reply within fourth month	
Large Enti Fee Fee	•	Fee Description	Fee Paid	1255	2,010	Extension for reply within fifth month	
Code (\$)		•		1401	330	Notice of Appeal	
1001 770) U	Itility filing fee		1402	330	Filing a brief in support of an appeal	
1002 340) D	esign filing fee		1403	290	Request for oral hearing	
1004 770	R	eissue filing fee		1452	110	Petition to revive - unavoidable	
1005 160) P	rovisional filing fee		1453	1,330	Petition to revive - unintentional	
		SUBTOTAL(1)	so	1501	1,330	Utility issue fee (or reissue)	
				1502	480	Design issue fee	
2. EXTRA CLAIM FEES Fee from Fee Paid		1460	130	Petitions to the Commissioner			
		Extra	below Fee Paid	1807	50	Processing fee under 37 CFR 1.17(q)	
Total Claims Independent		- 20 ** = 0 - 3 ** = 0	x \$18 = 0 x \$86 = 0	1806	180	Submission of Information Disclosure Statement	
Claims Multiple Dep	endent C		\$290 =	8021	40	Recording each patent assignment per property (times number of properties)	
**or number previously paid, if greater; For Reissues, see below Large Entity		1809	770	Filing a submission after final rejection (37 CFR 1.129(a))			
Fee Fee Code (\$)		Fee Description		1810	770	For each additional invention to be	
Code (\$) 1202 18		laims in excess of 20	,	1010	770	examined (37 CFR 1.129(b))	
1201 86	In	ndependent claims in exces	ss of 3	1801	770	Request for Continued Examination (RCE)	
1203 290) M	fultiple dependent claim, i	f not paid				
1204 86	*	*Reissue independent clai	ms over original patent	Other fe	e (specify)) ————	
1205 18		*Reissue claims in excess atent	of 20 and over original	Other fe	e (specify))	
		SUBTOTAL(2)	\$0			SUBTOTAL(3)	\$130

<u></u>	SUBMITTED BY	Comp	Complete (if applicable)	
Typed or Printed Name	R. Douglas Bradley	Reg. Number		
Signature	R. Donglon Bradley	Date 08/23/2004	Deposit Account User ID	